

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Justin E. York et al.

Confirmation No.:

Application No.:

Examiner: Zhen, Wei Y.

Filing Date: Herewith

Group Art Unit: 2122

Title: JAVA AND NATIVE APPLICATION WINDOW INTEGRATION

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL 982 235 835 US

Date of Deposit **09-16-2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: **Michael G. Fletcher**

Respectfully submitted,

**Justin E. York et al.**

By

**Michael G. Fletcher**

Attorney/Agent for Applicant(s)

Reg. No. **32,777**

Date: **Sept. 15, 2003**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200304257-2

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Justin E. York et al.

FILING DATE

Herewith

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,292,842	9/2001	Crouch et al.	
	1B	6,192,417	2/2001	Block et al.	
	1C	6,085,247	7/2000	Parsons, Jr., et al.	
	1D	6,074,432	6/2000	Guccione	
	1E	6,052,708	4/2000	Flynn et al.	
	1F	5,974,256	10/1999	Matthews et al.	
	1G	5,941,954	8/1999	Kalajan	
	1H	5,920,697	7/1999	Masters et al.	
	1I	5,913,032	6/1999	Schwartz et al.	
	1J	5,842,020	11/1998	Faustini	
	1K	5,815,727	9/1998	Motomura	

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	1L					
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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	"PostMessage" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/messques_87mt.htm">http://msdn.microsoft.com/library/sdkdoc/winui/messques_87mt.htm</a> >
	1R	"GetMessage" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/messques_10k1.htm">http://msdn.microsoft.com/library/sdkdoc/winui/messques_10k1.htm</a> >
	1S	"RegisterClass" (visited April 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/winclass_70s3.htm">http://msdn.microsoft.com/library/sdkdoc/winui/winclass_70s3.htm</a> >

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1Q	"PostMessage" (visited Apr. 29, 1999) <http://msdn.microsoft.com/library/sdkdoc/winui/messques_87mt.htm>
1R	"GetMessage" (visited Apr. 29, 1999) <http://msdn.microsoft.com/library/sdkdoc/winui/messques_10k1.htm>
1S	"RegisterClass" (visited April 29, 1999) <http://msdn.microsoft.com/library/sdkdoc/winui/winclass_70s3.htm>

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	2B	5,694,604	12/1997	Reiffin	
	2C	5,613,114	3/1997	Anderson et al.	
	2D	5,613,090	3/1997	Willems	
	2E	5,313,581	5/1994	Giokas et al.	
	2F	5,301,268	4/1994	Takeda	
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**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	2Q	"RegisterClassEx" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/winclass_0wc8.htm">http://msdn.microsoft.com/library/sdkdoc/winui/winclass_0wc8.htm</a> >
	2R	"UnregisterClass" (visited April 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/winclass_70bjn.htm">http://msdn.microsoft.com/library/sdkdoc/winui/winclass_70bjn.htm</a> >
	2S	"Registering WindowClasses" (visited April 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winbase/unicode_5kfn.htm">http://msdn.microsoft.com/library/sdkdoc/winbase/unicode_5kfn.htm</a> >

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**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

3Q	"DispatchMessage" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/messques_55b9.htm">http://msdn.microsoft.com/library/sdkdoc/winui/messques_55b9.htm</a> >
3R	"Receiving and Dispatching Messages" (visited Apr. 29, 2003) < <a href="http://msdn.microsoft.com/library/sdkdoc/wince/window_10ab.htm">http://msdn.microsoft.com/library/sdkdoc/wince/window_10ab.htm</a> >
3S	"RegisterWindowMessage" (visited Apr. 29, 2003) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/messques_10h1.htm">http://msdn.microsoft.com/library/sdkdoc/winui/messques_10h1.htm</a> >

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4Q	"FindWindow () API Library Routine" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/devprods/vs6/vfoxpro/html/lng_findwindowlp_rp.htm">http://msdn.microsoft.com/library/devprods/vs6/vfoxpro/html/lng_findwindowlp_rp.htm</a> >
4R	"Sizing and Positioning a Window" (visited Apr. 29, 1999) < <a href="http://msdn.microsoft.com/library/tools/wceui/window_7.htm">http://msdn.microsoft.com/library/tools/wceui/window_7.htm</a> >
4S	"About the Multiple Document Interface" (visited Apr. 27, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/mdocint_3did.htm">http://msdn.microsoft.com/library/sdkdoc/winui/mdocint_3did.htm</a> >

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

5Q	"Multiple Document Interface" (visited Apr. 27, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winui/mdocint_6crp.htm">http://msdn.microsoft.com/library/sdkdoc/winui/mdocint_6crp.htm</a> >
5R	"Multiple-Document Interface (MDI) Applications" (visited Apr. 27, 1999) < <a href="http://msdn.microsoft.com/library/de.../vbconmultipledocumentinterfacemdiapplications.ht">http://msdn.microsoft.com/library/de.../vbconmultipledocumentinterfacemdiapplications.ht</a> >
5S	"Multiple Document Interface" (visited Apr. 27, 1999) < <a href="http://msdn.microsoft.com/library/books/winguide/platfrm2/d5/s1164c.htm">http://msdn.microsoft.com/library/books/winguide/platfrm2/d5/s1164c.htm</a> >

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**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

6Q	"Using a Multithreaded Multiple Document Interface Application" (visited Apr. 17, 1999) < <a href="http://msdn.microsoft.com/library/sdkdoc/winbase/prothred_3msu.htm">http://msdn.microsoft.com/library/sdkdoc/winbase/prothred_3msu.htm</a> >
6R	"Java Application Programming Interface User's Guide" (visited Apr. 23, 1999) < <a href="http://www.warwick.ac.uk/cudce/jdk1.1.7B/docs/api/API_users_guide.html">http://www.warwick.ac.uk/cudce/jdk1.1.7B/docs/api/API_users_guide.html</a> >
6S	"Class java.lang.Thread" (visited Apr. 23, 1999) < <a href="http://www.warwick.ac.uk/cudce/jdk1.1.7B/docs/api/java.lang.Thread.html">http://www.warwick.ac.uk/cudce/jdk1.1.7B/docs/api/java.lang.Thread.html</a> >

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

7Q	"Java Native Interface Specification" (Visited Apr. 23, 1999): < <a href="http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/jniTOC.doc.html">http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/jniTOC.doc.html</a> > "Table of Contents" < <a href="http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/intro.doc.html">http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/intro.doc.html</a> > "Introduction" < <a href="http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/design.doc.html">http://java.sun.com/products/jdk/1.2/docs/guide/jni/spec/design.doc.html</a> > "Design Overview"
7R	David Bank, "The Java Saga" - Wired Archive 3.12 - (December, 1995) < <a href="http://java.wired.com/wired/archive/3.12/java.saga_pr.html">http://java.wired.com/wired/archive/3.12/java.saga_pr.html</a> >
7S	"Java and the Java Runtime Environment" (visited Mar. 16, 1999) < <a href="http://www.sun.com/solaris/java/wp-java/2.html">http://www.sun.com/solaris/java/wp-java/2.html</a> >

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8Q	Kyle Marsh, "Win32 Window Hierarchy and Styles" (visited Mar. 13, 1999) < <a href="http://www.microsoft.com/win32dev/ui/styles32.html">http://www.microsoft.com/win32dev/ui/styles32.html</a> >
8R	Kyle Marsh, "Window Classes in Win32," Microsoft Developer Network Technology Group (Jan. 25, 1994) < <a href="http://msdn.microsoft.com/library/techart/msdn_classy32.html">http://msdn.microsoft.com/library/techart/msdn_classy32.html</a> >
8S	"Invoking the Java Virtual Machine" (visited Apr. 29, 1999) < <a href="http://java.sun.com/docs/books/tutorial/native1.1/invoking.invo.html">http://java.sun.com/docs/books/tutorial/native1.1/invoking.invo.html</a> >

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9Q	"Lesson: Compiling and Running a Java Program with a Native Method" (visited Apr. 29, 2003) < <a href="http://java.sun.com/docs/books/tutorial/native1.1/stepbystep/index.html">http://java.sun.com/docs/books/tutorial/native1.1/stepbystep/index.html</a> >
9R	"What is the Java Platform?" (visited Apr. 29, 1999) < <a href="http://java.sun.com/docs/white/platform/javaplatform.doc1.html">http://java.sun.com/docs/white/platform/javaplatform.doc1.html</a> >
9S	"A Look Inside the Java Platform" (visited Apr. 29, 2003) < <a href="http://java.sun.com/docs/white/platform/javaplatform/doc2.html">http://java.sun.com/docs/white/platform/javaplatform/doc2.html</a> >

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10	"Java Compile and Runtime Environments" (visited Apr. 29, 1999) < <a href="http://java.sun.com/docs/white/platform/javaplatform/doc3.html">http://java.sun.com/docs/white/platform/javaplatform/doc3.html</a> >
10	"Lesson: Overview of the JNI" (visited Apr. 29, 1999) < <a href="http://java.sun.com/docs/books/tutorial/native1.1/concepts/index.html">http://java.sun.com/docs/books/tutorial/native1.1/concepts/index.html</a> >
10	"JavaTM Development Kit (JDK) and JavabeansTM Arrive" (visited Apr. 29, 1999) < <a href="http://www.javasoft.com/features/1997/feb/jdkbeans.html">http://www.javasoft.com/features/1997/feb/jdkbeans.html</a> >

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**FOREIGN PATENT DOCUMENTS**

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	11	"Visual Café3.0" (visited Aug. 3, 1999) < <a href="http://www.symantec.com/domain/caf#vcafe30.html">http://www.symantec.com/domain/caf#vcafe30.html</a> >
	11	Kramer, et al., "The Java TM Platform, A White Paper," JavaSoft (May 1996)
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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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12	Lindholm et al., "The Java™ Virtual Machine Specification, Second Edition" (visited Aug. 3, 1999); "Java Programming Language Concepts" < <a href="http://java.sun.com/docs/books/vmspec/2nd-edition/html/concepts.doc.html">http://java.sun.com/docs/books/vmspec/2nd-edition/html/concepts.doc.html</a> >
12	Lindholm et al., "The Java™ Virtual Machine Specification, Second Edition" (visited Aug. 3, 1999); "The Structure of the Java Virtual Machine" < <a href="http://java.sun.com/docs/books/vmspec/html/Overview.doc.html">http://java.sun.com/docs/books/vmspec/html/Overview.doc.html</a> >
12	"Blocking Modal Dialog Support for Java," IBM Technical Disclosure Bulletin, (April 1997) < <a href="http://westbrs:8820/bing/gate.exe?f=doc&amp;s...e=p_Message=&amp;p_doccnt=1&amp;p_doc_1=PTFFULLL">http://westbrs:8820/bing/gate.exe?f=doc&amp;s...e=p_Message=&amp;p_doccnt=1&amp;p_doc_1=PTFFULLL</a> >

Rev 05/03 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200304257-2

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Justin E. York et al.

FILING DATE

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

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13	"Lesson: Integrating Java and Native Programs" (visited Jul. 17, 2001) < <a href="http://java.com/docs/books/tutorial/native1.1/integrating/index.html">http://java.com/docs/books/tutorial/native1.1/integrating/index.html</a> >
13	"Java 2 Platform, Standard Edition, v1.2.2 API Specification; Class Window"(visited May 20, 2002) < <a href="http://java.sun.com/products/jdk/1.2/docs/api/java/awt/Window.html">http://java.sun.com/products/jdk/1.2/docs/api/java/awt/Window.html</a> >
13	"Java 2 Platform, Standard Edition, v1.2.2 API Specification; Class Window"(visited May 28, 2002) < <a href="http://kbs.cs.TV-Berlin.de.de/jutta/ht/apibook/javac15.htm">http://kbs.cs.TV-Berlin.de.de/jutta/ht/apibook/javac15.htm</a> >

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In re Application of:  
Justin York, et al.

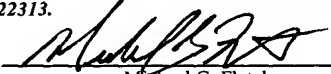
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For: Java and Native Application Window  
Integration

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<i>Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.</i>	
September 16, 2003	
Date	Michael G. Fletcher

Dear Sirs:

**ADDENDUM TO INFORMATION DISCLOSURE STATEMENT**

The attached "Information Disclosure Statement" indicates that Applicants have submitted copies of patents, publications, and other information. However, the Patent Office has recently notified Applicants that:

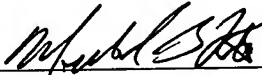
The Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003.

Accordingly, Applicants have not submitted copies of any U.S. Patents or any U.S. Patent Application Publications cited on the attached form PTO-1449.



Respectfully submitted,

Date: September 16, 2003

  
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Michael G. Fletcher  
Reg. No. 32,777  
Fletcher Yoder  
(281) 970-4545